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DATE: August 16, 2001

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SENDER'S NAME: Michael P. Straher

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Please deliver this and the following pages to:

Name: C.M. Haywood

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SENDER'S NAME: Janet S. Jiulianti (Assistant to Michael P. Straher)

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COVER MESSAGE: RE: S.N. 09/500,849

Per your telephone conversation with Mr. Straher yesterday re: S.N. 09/500,849 please find attached a copy of a Communication that was filed via facsimile on August 16, 2001 with the Response to Notice to File Missing Parts and related documents attached, that were originally filed on May 8, 2000.

Please contact me if you need anything further. Thank you.

Janet S. Jiulianti (215)564-8376

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DOCKET NO.: CEPH-0939**PATENT****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE****In Re Application of:**

Hudkins et al.

Serial No.: 09/500,849**Group No.: Not Assigned****Filing Date: February 10, 2000****Examiner: Not Assigned****For: Cyclic Substituted Fused Pyrrolocarbazoles and Isoindolones****Certificate of Facsimile Transmission**

I hereby certify that this paper is being facsimile transmitted to the Patent and Trademark Office on the date shown below.

On August 16, 2001


Michael P. Straher Reg. No. 38,325**COMMUNICATION**

This communication is sent in response to a telephone conversation held August 16, 2001 between Applicants' undersigned attorney and Ms. Linda Hallman of the U.S. Patent and Trademark Office ("the Office"). In that conversation, Applicants undersigned attorney was informed that Applicants' Response to Notice to File Missing Parts of Application mailed to the Office in connection with the above referenced application on May 8, 2000 had not reached the file, and that the application was subject to being considered abandoned.

Accordingly, Applicants provide herewith copies of their previously filed Response, along with copies of the original Combined Declaration and Power of Attorney, executed by the inventor(s), the Associate Power of Attorney, the check in the amount of \$130, and the postcard

DOCKET NO.: CEPH-0939


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PATENT

indicating that the foregoing documents were received by the Office on May 15, 2000.

Applicants accordingly request confirmation that the present application is not considered by the Office to be abandoned, and further request examination of the same.

Date: August 16, 2001



Michael P. Straher
Registration No. 38,325

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POCKET NO.: CEPH-0939

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Hudkins et al.

Serial No.: 09/500,849

Group No.: Not Assigned

Filing Date: February 10, 2000

Examiner: Not Assigned

For: Cyclic Substituted Fused Pyrrolocarbazoles and Isoindolones

DATE OF DEPOSIT: May 8, 2000

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Michael P. Straher
TYPED NAME: Michael P. Straher
REGISTRATION NO.: 38,325

BOX MISSING PARTS

Assistant Commissioner for Patents
Washington DC 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the "Notice to File Missing Parts of Application—Filing Date Granted"
dated April 27, 2000, a response to which is due June 27, 2000, enclosed herewith for filing is:

- ☒ The original Combined Declaration and Power of Attorney, executed by the inventor(s). An unexecuted copy of this document, attached to the above-identified specification, was filed by Express Mail No. EL531276865US) on February 10, 2000.
- ☒ An Associate Power of Attorney is also enclosed.
- ☐ Request for Corrected Filing Receipt.
- ☐ Small entity status of this application under 37 CFR 1.9 and 1.27 has been established by a Statement previously submitted on

DOCKET NO.: CEPH-0939

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PATENT

- ☐ The original executed Statement Claiming Small Entry Status Under 37 CFR 1.9 and 1.27 is filed herewith.
- ☐ A Statement Claiming Small Entry Status Under 37 CFR 1.9 and 1.27 has been forwarded to the Refund Section, Accounting Division, Office of Finance on @@. A copy of this Statement Claiming Small Entry Status form and the Request for Refund form is enclosed for your convenience.
- ☒ A check in the amount of \$130 is attached to cover the surcharge. Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050.
- ☐ Please charge the surcharge to my Deposit Account No. 23-3050 in the amount of \$@@.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 23-3050. This sheet is attached in duplicate.
- ☒ Any patent application processing fees under 37 CFR 1.17 and under 37 CFR 1.20(d).
- ☐ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).
- ☒ Any filing fees under 37 CFR 1.16 including fees for presentation of extra claims.

Date: May 8, 2000



Michael P. Straher
Registration No. 38,325

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(in dup.w/Cert. Of Mailing Stamp); Executed Combined Dec&Pwr. Of
Atty; Associate Power of Atty; Check in the amount of \$130.

Applicant(s): Hudkins et al.

Serial No.: 09/500,849

Filed: February 10, 2000

Docket No.: CEPH-0939

Date Sent: May 8, 2000 SEM/MPStraher/jjiulianti

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WASHBURN KURTZ
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DETACH AND RETAIN THIS STATEMENT
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IF NOT CORRECT PLEASE NOTIFY US PROMPTLY. NO RECEIPT DESIRED.

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